

## **Exhibit NA-1**

**CLAYTON UTZ**

Our Reference:  
Chris Edwards:JAL:60001001  
Solicitor

5 June 2001

**PRIVATE AND CONFIDENTIAL**

Mr Mark Dwyer  
Corrs Chambers Westgarth  
GPO Box 9925  
PERTH WA 6001

Dear Sir

**PAUL VAN SAARLOOS**

We refer to our letter of 24 May 2001.

We enclose herewith an Assignment and Power of Attorney documentation in relation to a patent application titled "Limited Coherence Stereo Ophthalmoscope" for your client's completion. We are instructed that the documents are of a kind that have been previously executed by your client without comment. You will have noted that your client's employment contract clearly states that the ownership of such intellectual property is to rest with our client.

We also note that your client has failed to execute and return documents previously forwarded in relations to patents for the "Z-Axis Tracker" and "Improved Apparatus and Procedure for Ultraviolet Laser Ablation".

Kindly ensure that your client completes all documentation and returns it to us without delay. You will appreciate that any delay by your client in returning the completed documentation to us has the capacity to cause our client loss, and we put you on notice that our client reserves its rights to claim against your client for such loss should your client fail to adhere to his contractual obligations.

Yours faithfully

*Clayton Utz*  
CLAYTON UTZ

Encl.

Canada

**ASSIGNMENT**  
(Patent, Patent Application)

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged,

I, Paul Phillip Van Saarloos of 14 Dunster Road, Karrinyup, Western Australia 6018, Australia  
do hereby confirm my (our) sale, assignment, transfer and setting over and I(we) do hereby sell, assign, transfer and set over to  
The Lions Eye Institute of Western Australia Incorporated of 2nd Floor, 2 Verdun Street, Nedlands, Western Australia 6009,  
Australia

(A) my (our) entire right, title and interest in and to an invention relating to and entitled  
"Limited Coherence Stereo Ophthalmoscope"

- (I) as fully set forth and described in an application for Letters Patent of Canada filed or to be filed and naming me (us) as the inventor(s), or
- (II) as fully set forth and described in an application for Letters Patent of Canada filed under Serial No. \_\_\_\_\_ on \_\_\_\_\_ or
- (III) as fully set forth and described in national phase entry in Canada of PCT International Application No. PCT/AU99/01024

together with my (our) entire right, title and interest in and to said application or national phase entry, any and all divisional applications thereof, and any and all Letters Patent of Canada which may issue or be re-issued for said invention to the full end of the term for which each said Letters Patent may be granted;

(B) my (our) entire right, title and interest in and to Canadian Patent No. \_\_\_\_\_ issued \_\_\_\_\_;

AND I (we) hereby authorize the issuance to said assignee of any and all said Letters Patent not already issued as the assignee of (my) our entire right, title and interest in and to the same, for the sole use and benefit of said assignee, its successors, assigns or legal representatives.

AND I (we), on behalf of myself (ourselves) and my (our) executors and administrators, hereby covenant and agree to do all such lawful acts and things and to execute without further consideration such further lawful assignments, documents, assurances, applications, and other instruments as may reasonably be required by said assignee, its successors, assigns or legal representatives, to obtain any and all Letters Patent of Canada for said invention and vest the same in said assignee, its successors, assigns or legal representatives.

SIGNED in X \_\_\_\_\_  
the X day of \_\_\_\_\_, 2001

X \_\_\_\_\_ X \_\_\_\_\_  
Witness Name: Paul Phillip Van Saarloos

↗  
Please print name of witness.

**ASSIGNMENT**

**IN CONSIDERATION** of the sum of One Dollar (\$1.00), and other good and valuable consideration, receipt of which is hereby acknowledged,

Paul Phillip Van Saarloos of 14 Dunster Road, Karrinyup, Western Australia 6018, Australia

**HEREBY SELL ASSIGN AND TRANSFER** to:

The Lions Eye Institute of Western Australia Incorporated of 2nd Floor, 2 Verdun Street, Nedlands, Western Australia 6009, Australia

its successors, assigns and legal representatives the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent and is entitled

"Limited Coherence Stereo Ophthalmoscope"

which application was executed by the undersigned on the \_\_\_\_\_ day of \_\_\_\_\_ and in and to any said application and all divisional, continuations, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and in any and all foreign countries on any of said improvements, and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements and the right to all benefits under the International Convention for the Protection of Industrial Property.

It is hereby authorised and request that the Commissioner of Patents and Trademarks issue any and all said Letters Patent, when granted to said Assignee.

Further it is agreed that when requested, without charge to and at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and on all patents on said improvements, in said Assignee, its successors, and legal representatives.

We covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

SIGNED at 

this \_\_\_\_\_ day of \_\_\_\_\_

2001 

Paul Phillip Van Saarloos

 Witness Signature

Name:



Please print name of  
witness

Attorney Docket No.

MERCHANT &amp; GOULD P.C.

United States Patent Application

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

The specification of which

- a.  is attached hereto  
 b.  was filed on \_\_\_\_\_ as application serial no. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable) (in the case of a PCT-filed application) described and claimed in international no. \_\_\_\_\_ filed \_\_\_\_\_ and as amended on \_\_\_\_\_ (if any), which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

- a.  no such applications have been filed.  
 b.  such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
AUSTRALIA	PP 7185	18/11/1988	
AUSTRALIA	PCTAU98/01024	18/11/1989	

## ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT International application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2	Full Name Of Inventor	Family Name <b>VAN SAARLOOS</b>	First Given Name <b>PAUL</b>	Second Given Name <b>PHILLIP</b>
0	Residence & Citizenship	City <b>KARRINYUP</b>	State or Foreign Country <b>WESTERN AUSTRALIA</b>	Country of Citizenship <b>NEW ZEALAND</b>
1	Post Office Address	Post Office Address <b>14 DUNSTER ROAD</b>	City <b>KARRINYUP</b>	State & Zip Code/Country <b>W.A 6018/AUSTRALIA</b>

Signature of Inventor 201:

Date:

2	Full Name Of Inventor	Family Name <b>REINHOLZ</b>	First Given Name <b>FRED</b>	Second Given Name <b>NORBERT</b>
0	Residence & Citizenship	City <b>FLOREAT</b>	State or Foreign Country <b>WESTERN AUSTRALIA</b>	Country of Citizenship <b>GERMANY</b>
2	Post Office Address	Post Office Address <b>113 GRANTHAM STREET</b>	City <b>FLOREAT</b>	State & Zip Code/Country <b>W.A 6014/AUSTRALIA</b>

Signature of Inventor 202:

Date:

2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
3	Post Office Address	Post Office Address	City	State & Zip Code/Country

Signature of Inventor 203:

Date:

2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
4	Post Office Address	Post Office Address	City	State & Zip Code/Country

Signature of Inventor 204:

Date:

2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
6	Post Office Address	Post Office Address	City	State & Zip Code/Country

Signature of Inventor 205:

Date:

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Albrecht, John W.	Reg. No. 40,481	Kowalchyk, Alan W.	Reg. No. 31,535
Ali, M. Jeffer	Reg. No. 46,359	Kowalchyk, Katherine M.	Reg. No. 36,848
Anderson, Gregg L.	Reg. No. 28,828	Lacy, Paul E.	Reg. No. 38,946
Batzli, Brian H.	Reg. No. 32,960	Larson, James A.	Reg. No. 40,443
Beard, John L.	Reg. No. 27,612	Liepa, Mara E.	Reg. No. 40,066
Berns, John M.	Reg. No. 43,496	Lindquist, Timothy A.	Reg. No. 40,701
Black, Bruce E.	Reg. No. 41,622	Lycke, Lawrence E.	Reg. No. 38,540
Branch, John W.	Reg. No. 41,633	McAuley, Steven A.	Reg. No. 46,084
Brenner, Dennis C.	Reg. No. 40,528	McDonald, Daniel W.	Reg. No. 22,144
Bruess, Steven C.	Reg. No. 34,130	McIntyre, Jr., William F.	Reg. No. 44,921
Byrne, Linda M.	Reg. No. 32,404	Mueller, Douglas P.	Reg. No. 30,300
Campbell, Keith	Reg. No. P-46,597	Pauly, Daniel M.	Reg. No. 40,123
Carlson, Alan G.	Reg. No. 25,959	Phillips, Bryan K.	Reg. No. P-46,990
Caspers, Philip P.	Reg. No. 33,227	Phillips, John B.	Reg. No. 37,206
Chiapetta, James R.	Reg. No. 39,634	Plunkett, Theodore	Reg. No. 37,209
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Daignault, Ronald A.	Reg. No. 25,968	Pytel, Melissa J.	Reg. No. 41,312
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Edell, Robert T.	Reg. No. 20,187	Schumann, Michael D.	Reg. No. 30,422
Epp Ryan, Sandra	Reg. No. 39,667	Scull, Timothy B.	Reg. No. 42,137
Glanoe, Robert J.	Reg. No. 40,620	Sebald, Gregory A.	Reg. No. 33,280
Goggia, Matthew J.	Reg. No. 44,125	Skoog, Mark T.	Reg. No. 40,178
Golla, Charles E.	Reg. No. 26,896	Spellman, Steven J.	Reg. No. 45,124
Gorman, Alan G.	Reg. No. 38,472	Stoll-DeBell, Kirstin L.	Reg. No. 43,164
Gould, John D.	Reg. No. 18,223	Sumner, John P.	Reg. No. 29,114
Gregson, Richard	Reg. No. 41,804	Swenson, Erik G.	Reg. No. 45,147
Grosenz, John J.	Reg. No. 33,112	Tellekson, David K.	Reg. No. 32,314
Hanot, Samuel A.	Reg. No. P-46,754	Trembach, Jon R.	Reg. No. 38,344
Hannay, Curtis B.	Reg. No. 29,165	Underhill, Albert L.	Reg. No. 27,403
Harrison, Kevin C.	Reg. No. P-46,759	Vandeburgh, J. Derek	Reg. No. 32,179
Hartzberg, Brett A.	Reg. No. 42,660	Wahl, John R.	Reg. No. 33,044
Hilleon, Randall A.	Reg. No. 31,838	Weaver, Karrie G.	Reg. No. 43,245
Holzer, Jr., Richard J.	Reg. No. 42,668	Welter, Paul A.	Reg. No. 20,890
Johnston, Scott W.	Reg. No. 39,721	Whipple, Brian	Reg. No. 43,261
Kadievitch, Natalie D.	Reg. No. 34,196	Wickham, J. Scott	Reg. No. 41,376
Karjeker, Shaukat	Reg. No. 34,049	Williams, Douglas J.	Reg. No. 27,054
Kastelic, Joseph M.	Reg. No. 37,160	Witt, Jonellis	Reg. No. 41,980
Ketelberger, Denise	Reg. No. 33,924	Wu, Tong	Reg. No. 43,361
Keys, Jeramic J.	Reg. No. 42,724	Xu, Min S.	Reg. No. 39,536
Knearl, Homer L.	Reg. No. 21,197	Zeculi, Anthony R.	Reg. No. 45,255

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Merchant & Gould P.C. to the contrary.

Please direct all correspondence in this case to Merchant & Gould P.C. at the address indicated below:

Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903

\* 2355  
2 \*

2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	
6	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 246:			Date:	
3	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	
7	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 247:			Date:	
2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	
8	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 248:			Date:	
3	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	
9	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 249:			Date:	
3	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
1	Residence & Citizenship	City	State or Foreign Country	
0	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 250:			Date:	

**§ 1.56 Duty to disclose information material to patentability.**

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
- (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: <b>A61B 3/12</b>	A1	(11) International Publication Number: <b>WO 00/28885</b>
		(43) International Publication Date: <b>25 May 2000 (23.05.00)</b>
<p>(31) International Application Number: <b>PCT/AU99/01024</b></p> <p>(22) International Filing Date: <b>18 November 1999 (18.11.99)</b></p> <p>(30) Priority Data: <b>PP 7163 18 November 1998 (18.11.98) AU</b></p> <p>(71) Applicant (for all designated States except US): <b>THE LIONS EYE INSTITUTE OF WESTERN AUSTRALIA INCORPORATED (AU/AU); 2nd Floor, 2 Verdun Street, Nedlands, W.A. 6009 (AU).</b></p> <p>(72) Inventors; and</p> <p>(73) Inventors/Applicants (for US only): <b>VAN SAARLOOS, Paul, Phillip (NZ/AU); 14 Dunster Road, Karrinyup, W.A. 6018 (AU); RENNER, Norbert (DE/AU); 113 Grantham Street, Floreat, W.A. 6014 (AU)</b></p> <p>(74) Agents: <b>NOONAN, Greg et al., Paribjiji Patent Attorneys, Level 47, 101 Collins Street, Melbourne, VIC 3000 (AU).</b></p>		<p>(81) Designated States: <b>AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MU, MN, MW, MO, NO, NZ, PL, PT, RO, RU, SD, SE, SO, SL, SK, SL, TZ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, OM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TMD), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CR, CI, CL, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</b></p> <p><b>Published</b> With International search report.</p>
<p>(54) Title: <b>LIMITED COHERENCE STEREO OPHTHALMOSCOPE</b></p> <p>(57) Abstract</p> <p>An imaging apparatus for the three dimensional imaging and/or measurement of a surface includes first beam modifying means (9) for modifying an incident beam (2) of short coherence length light to form a modified beam (10) of first (5) and second (6) components having a mutual path difference and being capable of producing a detectable interference. Beam splitting means (13) splits the modified beam into first (15) and second (16) beams. Second beam modifying means (18) is provided for modifying the properties of at least one of the first and second beams, recombining means (19) thereafter recombining the first and second beams. The apparatus further includes means (22, 24) for directing the recombined first and second beams towards the surface and scanning them across the surface, and means (25, 32, 33, 36) for monitoring the first and second beams after reflection and detecting interference of the reflected first and second beams. A corresponding method is also disclosed.</p>		

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## LIMITED COHERENCE STEREO OPHTHALMOSCOPE

### Field of the Invention

The present invention relates to a method and apparatus for the stereoscopic examination of, for example, the fundus of the eye, with applications 5 in the investigation and diagnosis of diseases that affect the posterior chamber of the eyeball. The invention will be described by reference to such applications, but it is envisioned that the apparatus and method of the present invention may be used for stereoscopic imaging in other medical processes.

### Background Art

10 Visualisation of the ocular fundus can provide important information about the state of the eye and of the body. Information concerning ocular and systemic diseases, such as glaucoma, macula degeneration, diabetes or hypertension can be gained from examination of the posterior pole of the eye. In the past, imaging of the ocular fundus has been performed by means of an ophthalmoscope, with 15 which a direct view of the retina can be obtained. Other methods include the use of fundus cameras to obtain photographic images. However, these techniques usually require the use of mydriatic dilating drugs. The amount of light required to illuminate the fundus may also be uncomfortable for the patient.

Recent developments have resulted in the emergence of a new imaging 20 instrument for the ophthalmologist, in which an image of the eye may be observed in real-time and captured on a television monitor or screen, during procedures such as fluorescein angiography. This instrument, known as a Scanning Laser Ophthalmoscope (SLO), first described in US Patent 4,213,678, is currently used to produce representations of the ocular fundus in two dimensions. US Patents 25 4,765,730, 5,268,711 and 5,430,509 describe different embodiments of the scanning laser ophthalmoscope. All utilise a laser beam or light source that is directed through the pupil and onto the retina by way of two-directional, scanning

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mechanisms. Light from the laser is reflected off the retinal wall towards a photosensitive detection device. Electro-optical circuitry is employed to convert the light into synchronized signals, so that it is possible to display an image of the fundus on a television screen or monitor.

5 However, although the optic disc region and retinal layers have a three dimensional structure, the existing SLO technology described above does not permit stereoscopic viewing of the ocular fundus. Stereoscopic images of the ocular fundus can impart valuable information that cannot otherwise be derived from a two dimensional representation, especially in relation to the diagnosis of  
10 10 glaucoma. Efforts have therefore been made to create a device capable of producing three dimensional fundus images, while improving on the contrast and resolution of conventional SLO images.

Frambach, Dacey and Sadun (1992, 1993) describe a method of producing a three dimensional fundus picture during fluorescein angiography, using a  
15 modified SLO. To obtain stereoscopic data the SLO was manually moved from side to side during angiogram proceedings, much like a fundus camera is moved to enable viewing from two different positions. Individual frames from the video tape were chosen from left and right perspectives to provide a three dimensional image. An alternative approach employed by the Frambach et al. involved the use  
20 of a modified Allen separator. A piece of flat glass was attached to an extended rod, which was coupled to the Allen separator, so that the glass was interposed between the eye and the SLO. The glass was then rapidly rotated to provide the left and right perspectives. The resulting frames were digitized by computer and viewed directly on a video screen. Superimposed images were formed by breaking  
25 a stereo pair down into corresponding fields and recombining them to form a single frame. LCD glasses were then used to view the left and right fields with the corresponding eye in turn.

These disclosures illustrate that achieving a stereoscopic image from a conventional scanning laser ophthalmoscope is possible. However, the methods

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involved exhibit a number of disadvantages. Frambach et al.'s first method of shifting the SLO involved awkward and confusing adjustments, resulting in poor stereoscopic image quality. The second method also had the disadvantage that interference due to unwanted back reflections from the Allen separator would hinder the transmission of stereoscopic information. Unrequired scattered light would impinge on the photodetecting element, causing a decrease in the contrast and resolution of the images.

Improvements in SLO image resolution and contrast are possible if the detector receives light only from the plane of interest and not scattered light from the media of the eye. A scanning laser ophthalmoscope that could provide high resolution, high contrast images of an ocular fundus was realised with the invention of the confocal scanning laser ophthalmoscope (cSLO), such as that described in US Patents 5,170,276 and 5,071,246. The confocal SLO utilises a pinhole or slit aperture to focus the light reflected from the fundus onto a photodetecting element. The aperture is located at a plane in which the opening is conjugate with the plane of the fundus of the eye. In this way, only the light reflected from the plane of interest impinges on the photodetecting element and any light scattered or reflected from "out-of-focus" planes is prevented from degrading the image.

Confocal scanning laser ophthalmoscopes are also currently used to provide three dimensional information concerning the ocular fundus. The confocal aperture of the cSLO allows the user to focus precisely on specific layers of the retina. By adjusting the focal plane of the aperture, images can be captured at different levels in the fundus, to reproduce desired depth characteristics. In this way a number of "optical sections" can be produced. A computer can then be used to extract depth information, through the process of "stacking" a selection of the optical sections taken at different levels of the retina. Information regarding the third dimension can therefore be interpolated.

US Patent No. 4,800,144 (also see Optics Communications: 87(1,2): 8-14)

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describes a scanning laser ophthalmoscope that employs an alternative confocal focussing arrangement. The Invention can produce a three dimensional representation of an object that displays multiple reflectivity characteristics (such as the ocular fundus) through a method slightly different from the conventional 5 confocal depth production methods described above. This US patent teaches the use of two separate confocal slit apertures and photodetecting units. The detection slits are orientated parallel to the direction in which the light, reflected from the fundus, is scanned. However; both slits are slightly displaced from the normal position: the apertures are not conjugate with the fundus of the eye. One is 10 positioned slightly forward of the conjugate plane, while the other is placed to the rear. Owing to the positioning of the confocal apertures, the output signals from the photodetectors vary in intensity according to the unevenness of the fundus. The resulting output signals are processed electronically by division calculations, detailed in US Patent No. 4,900,144, to obtain a three dimensional profile. The 15 resultant real-time image displays the topography of the fundus through different shade levels, reflecting different retinal depth levels. Software may also be used to create three dimensional graphic patterns.

The methods described above use the depth discrimination, or axial resolution, of the confocal system. Unlike lateral resolution, axial resolution is 20 strongly limited by two factors. Firstly, the shape and size of the laser light focus which is scanned over the retina may suffer from deformations and distortions, particularly in the direction of the optical axis. This is due to the limited useful numerical aperture of the eye and its optical imperfections. Furthermore, the axial resolution of a confocal SLO may be constrained by the size of the detection 25 pinhole or slit. Owing to intensity limitations on the living eye it is often necessary to provide a detector aperture size that is larger than the optimal confocal pinhole in order to maintain a sufficient signal to noise ratio. Owing to these two factors, the axial resolution of a confocal system is typically thirty times less than the lateral resolution.

30 A further technique to produce three dimensional images of the ocular

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fundus, known as scanning laser triangulation, is described by Milbocker and Reznichenko (1991). Triangulation is a method commonly used for measuring distances. Combined with a confocal aperture, this method involves synchronized scanning of a pixel of light across the fundus by way of two mirrors. The illumination and detection paths are arranged symmetrically and are defined by the two mirrors. The axial distance is measured by the displacement of the illuminated spot in the confocal plane, enabling calculation of the depth from the points above and below the average position of the retinal wall. With this method the axial resolution is directly coupled to the lateral resolution. However, owing to the pupil size of the human eye the useful triangulation angles are small. Consequently, the axial resolution is about 10 times worse than the lateral resolution.

Interferometry is an optical method that is much better suited for distance measurements since it does not depend on the focussing or imaging qualities of optical elements as do, for example, the cornea and the lens of the eye. Interference patterns can only occur when the difference between the length of the reference arm and the object arm is shorter than the coherence length of the light source. Non-laser light sources such as bulbs, LEDs or superluminescent diodes have a coherence length of only a few micrometres. Thus, the detection of interference patterns means that the object distance is equal to the reference distance determined with an accuracy equal to the coherence length.

Popoleanu et al. (*Journal of Biomedical Optics*, Jan. 1998, vol. 3, no. 1, p. 12-20) describe an apparatus suited for transversal and longitudinal imaging of the retina using low coherence reflectometry. The light in the object arm of a fibre-based interferometer, where a superluminescent diode is the light source, propagates through a phase modulator and is scanned over the retina in a raster pattern. The light reflected from the fundus of the eye is combined with the light in the reference arm of the interferometer which length is controlled by means of moveable mirrors. Frequency sensitive detection recognizes the occurrence of interference. The strength of the interference signal is used by a frame grabber to

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form an image of features that are situated in a thin layer in the back of the eye. The axial position of this layer is controlled by the movable mirrors in the reference arm while the thickness of the layer is determined by the coherence length of the light source. In much the same way as with a confocal scanning laser ophthalmoscope a three dimensional image can be computed from a number of optical sections (transversal images) at different axial positions. Alternatively, scans in only one lateral and the axial direction (x-z-scans) may be carried out to record longitudinal images. The images acquired with this apparatus have a high depth resolution, about ten times better than a confocal scanning laser ophthalmoscope. However, the length of the object arm of the interferometer varies with the scan and/or the focal position as well as with the position and the size of the individual eye which is examined. It is therefore impractical or impossible to obtain quantitative data for the measurement of absolute distances in the eye ball. Furthermore, with the described lay-out a reduction in recording time is difficult due to bandwidth limitations of the phase modulation and the speed of the galvo-scanners.

A dual beam system as described by Baumgartner et al. (*Journal of Biomedical Optics*, Jan. 1998, vol. 3, no. 1, 45-54) overcomes one of the aforesaid problems. An external interferometer produces a beam with two coaxial components which have a path difference of twice the difference in the arm length of the interferometer. This beam is guided onto the eye, where parts of the beam are reflected from the cornea - which acts as a reference surface - and other parts are reflected from the fundus of the eye. If the optical distance between the cornea and a certain fundus feature matches the difference in length between the interferometer arms (within the accuracy of the coherence length of the light source) interference signals are detected. It is then straightforward to get a readout for the distance in the eye ball from the positions of the two interferometer mirrors. In order to achieve good signal-to-noise ratios for the interference detection a sub-component of the illumination beam has to be focused on the cornea while the rest of the beam has to enter the pupil of the eye in a more or less collimated state. In this configuration the focused component travels through

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- air only whereas the collimated component has to travel through the dispersion causing ocular medium also. It is demonstrated that a dispersion compensating element can therefore be used advantageously and an axial resolution of only a few micrometres can be achieved. To split the illuminating beam into a focused and a collimated component Baumgartner et al. use a special Fresnel lens-like diffractive optical element which is placed in front of the eye. However, the use of this non-standard element can cause unwanted back reflections and transmission losses. Lateral scanning of the beam, which is necessary to acquire data not only for one point but for a line or an area of the retina, is also severely restricted.
- 5      In order to detect the occurrence of interference a time variation in the interference pattern is necessary. In this lay-out the Doppler-shift caused by the moving reference mirror creates this time variation. It is therefore not possible to record a limited coherence image of a layer of the retina for a fixed axial position of this layer.
- 10     Accordingly, there remains a need to provide apparatus able to be adapted, at least in an embodiment, as a limited coherence scanning ophthalmoscope capable of acquiring images with a high depth resolution and of quantifying the 3D-morphology of the back of the eye, which preferably is not restricted by any of the aforementioned limitations.
- 15     It is therefore an object of the present invention, at least in one or more preferred embodiments, to provide an improved method and apparatus for producing a high contrast, three dimensional representation of a scanned object, based on both the high lateral resolution of the reflection characteristics of that object and the high axial resolution of the limited coherence reflectometry.
- 20     It is a further object of the present invention, at least in an advantageous application, to achieve the above object with a novel limited coherence scanning ophthalmoscope design that incorporates the use of additional beam splitting, focussing and beam combining components to gain information and quantitative
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topographic data about the third dimension.

Summary of the Invention

In a first aspect, the invention provides an imaging apparatus for the three dimensional imaging and/or measurement of a surface including:

5                   first beam modifying means for modifying an incident beam of short coherence length light to form a modified beam of first and second components having a mutual path difference and being capable of producing a detectable interference;

10                  beamsplitting means for splitting said modified beam into first and second beams;

                     second beam modifying means for modifying the properties of at least one of said first and second beams;

                     recombinining means for thereafter recombinining said first and second beams;

15                  means for directing said recombinined first and second beams towards said surface and scanning them across the surface; and

                     means for monitoring the first and second beams after reflection and detecting interference of the reflected first and second beams.

20                  Preferably, in its first aspect, the invention further includes steering means to vary a nodal point of the scanned first and second beams.

                     Preferably, the first first beam after said modification of the properties of at least one of the first and second beams is focused on a position in front of the surface for reflection at said position. The second beam may be a collimated beam at the scanning means, for being focussed onto the surface.

25                  Advantageously, the scanning means is arranged for scanning in at least two dimensions.

In an advantageous application, the apparatus is for imaging and/or

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measuring a surface comprising an ocular fundus, eg the incident beam is a laser beam, and the apparatus functions in use as a scanning laser ophthalmoscope. In this case, the first and second beams may respectively be a focussed beam arranged to be at least partially reflected from the cornea of an eye, and a

- 5 collimated beam for being focussed by the eye onto its fundus for reflection thereby.

According to a second aspect of the present invention, there is provided an imaging apparatus for the three dimensional imaging and measurement of a surface including:

- 10 a beam source for providing a beam of short coherence length light;  
a first beam splitter for splitting said beam into first and second components of short coherence length light;  
means for producing a path difference between said first and second components;
- 15 a second beam splitter for splitting said beam into first and second beams;  
beam modifying means for modifying the properties of at least one of said first and second beams;
- 20 recombining means for recombining said first and second beams;  
focussing means for focussing said recombined first and second beams;  
first and second beam scanners for scanning said recombined first and second beams in first and second directions;
- 25 beam steering means for creating a triangulation base by directing said recombined first and second beams onto said surface from a first and a second position and reflecting said recombined first and second beams therefrom;  
a third beam splitter for splitting said reflected first and second beams; and
- 30 a detector for detecting the interference of said reflected first and second beams.

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In a third aspect, the invention provides apparatus for visualising the ocular fundus of an eye and providing three dimensional topological data of said fundus including:

- 5        a light source for producing a beam of short coherence length;
- an interferometer for dividing said beam into sub-components with a defined path difference;
- modulation means for modulating at least one of the said sub-components;
- 10      beam shaping means for shaping said beam and/or said sub-components;
- polarisation influencing means for controlling and changing the polarisation state of said beam and/or said sub-components;
- a first beamsplitter and recombining means for splitting and re-combining said sub-components;
- 15      first focussing means for focussing said sub-components;
- a second beamsplitter for splitting the sub-components;
- second focussing means for further focussing at least one sub-component;
- 20      first scanning means for scanning the sub-components in a first direction;
- second scanning means for rescanning the sub-components in a second direction substantially perpendicular to said first direction and thereby converted into a raster pattern;
- 25      a beam steerer for creating a triangulation base and directing said sub-components onto said fundus and the cornea of said eye respectively;
- light detecting means for detecting said interference pattern after re-combining reflected light from said fundus and said cornea;
- signal processing means for processing signals from said light detecting means; and
- 30      display means for receiving said processed signals and displaying an image of said fundus.

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Preferably, in use, light travels from said beam source to said surface along an input path and, after reflection from said surface, an output path wherein said input and output paths are identical at least in part.

Preferably said apparatus includes interferometric means having at least 5 one optical arm with adjustable mirror means, for example, the interferometric means may include a first beam splitter and two mirrors wherein at least one of the mirrors is movable and position controllable.

Preferably the apparatus includes modulation means for modulating at least 10 one of the first and second beams, eg the phase difference between the first and second components.

The modulation means may include an electro-optic phase modulator, or alternatively a fibre based phase modulator.

The scanning means may include a mirror on a resonant scanner, or a 15 rotating polygon mirror, a mirror on a galvanometer motor, or an acousto-optic deflector, and/or a mirror mounted on a scanning galvanometer motor.

The beam steering means may include a pair of toggling mirrors that toggle every alternate frame or half frame to image the surface from two different positions, with substantially overlapping imaging areas, such that a triangulation base can be created.

20 The apparatus preferably includes signal processing means, eg. a computer with a video signal capture facility, and display means such as, eg. a computer monitor.

Preferably the apparatus includes imaging analyzing means to obtain three dimensional topological data of the surface. Such image analyzing means 25 advantageously includes computation means for identifying image features and carrying out length measurements. The computation means may typically include

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computer hardware and/or software. Such software may be conveniently include an image and data processing program that is capable of recognizing and extracting image features, carrying out distance measurements and producing three dimensional topological data.

5 In a fourth aspect, the invention provides a method for the three dimensional imaging and/or measurement of a surface including:

modifying an incident beam of short coherence length light to form a modified beam of first and second components having a mutual path difference and being capable of producing a detectable interference;

10 splitting said modified beam into first and second beams;

modifying the properties of at least one of said first and second beams and thereafter recombining said first and second beams;

directing said recombined first and second beams towards said surface and scanning them across the surface; and

15 monitoring the first and second beams after reflection and detecting interference of the reflected first and second beams.

The invention further provides, in a fifth aspect, a method for scanning a surface with light beams of short coherence length to thereby produce an image and three dimensional topological data of said surface, including:

20 directing light beams of short coherence length along an input path including:

polarising said beam;

dividing said beam into two sub-components with a defined path difference;

25 modulating at least one of said sub components;

splitting said sub-components;

focussing said sub-components;

re-combining said sub-components;

scanning said sub-components in a first direction;

30 scanning said sub-components in a second direction different from

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said first direction;

directing said sub-components through a beam steerer to provide a triangulation base by impinging said sub-components onto said surface from two different positions;

5 reflecting said sub-components onto said surface;

whereby reflected light from said surface traverses an output path identical at least in part to said input path, including splitting said sub-components and directing a portion of the split sub-components through an aperture means towards detecting means coupled to signal processing means and display means,  
10 whereby the resultant image can be viewed and three dimensional topological data of said surface can be obtained,

Preferred, advantageous and optional features of the apparatus as described above are where appropriate also applicable as preferred, advantageous or optional steps of the method of the fourth or fifth aspect of the  
15 invention.

#### Brief Description of the Drawings

In order that the invention be more fully ascertained, some preferred embodiments will be described, by way of example, with reference to the accompanying drawings, in which:

20 Figure 1 is a schematic diagram of a first preferred embodiment of the present invention; and

Figure 2 illustrates the process of data and image acquisition.

#### Preferred Embodiments

Referring to Figure 1, a preferred embodiment of the present invention  
25 utilises a superluminescent diode source 1, or any other suitable source of light with a short coherence length including collimating optics, which emits a light

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- beam 2. Alternatively, two or more light sources may be utilised to produce beam 2. This beam is directed through a polariser 3 onto a first beamsplitter 4 which forms part of an interferometric set-up 9. Components 5 and 6 of beam 2 are impinged onto mirrors 8 and 9 respectively, and reflected back to first beamsplitter 5. Mirror 8 is movable along the axial direction and the position of mirror 8 is computer controlled. Component 6 passes through the high fixed frequency phase modulator 7 which continuously changes the phase but not the intensity or the polarisation state of component 6. Alternatively, the Doppler effect may be used to create a frequency shift in component 6 when moving the mirror 8.
- 10 Beamsplitter 4 recombines components 5 and 6 to form beam 10 which consists of two sub-components with a difference in path length determined by the positions of mirrors 8 and 9. The beam 10 passes through half-wave plate 11, which rotates the polarisation direction of the linearly polarised beam 10 and determines the relative intensities of the components 14 and 15. Alternatively, a 15 variable retarder in conjunction with a quarter wave plate can replace half wave plate 11.

Beam 10 is then guided (through beamsplitter 12-see below) onto a second beamsplitter in the form of polarizing beamsplitter 13, which produces the two components, i.e first and second beams 14 and 15 with perpendicular polarisation directions. Component 14 is impinged off mirror 17 towards lens 18 to produce a non-collimated beam in such a way that component 14 will finally be focused on the cornea of the eye 25. Alternatively, a combination of lenses and/or flat and/or curved mirrors can replace lens 18. Component 15 passes through beamsplitter 16, and a portion of component 15 reaches the beamsplitter 19, preferably a Thompson prism. Beamsplitter 19 re-combines components 14 and 15 to form beam 20. As mentioned, component 14 is focused on the cornea of eye 25, whereas component 15 is collimated.

The beam 20 is directed towards the focussing unit 21, consisting of a lens or combinations of lenses and mirrors.

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After leaving the focussing unit 21 the beam is guided through the scanning unit 22 where a scan pattern is produced to image areas of interest of the eye. Within scanning unit 22 a beam may be guided onto a resonant scanner or a rapidly rotating multiple facet mirror, which acts as a horizontal scanner, and 5 subsequently onto a small curved mirror, which shapes the beam into a horizontal line. The beam may then travel to a vertical scanner such as a galvanometer controlled mirror.

After leaving the scanning unit 22 the beam 20 passes through a beam steerer in the form of beam steering unit 23, which allows for moving the nodal 10 point of the scan pattern to different positions within the pupil. Preferably, the beam steering unit 23 consists of a pair of toggling mirrors. These mirrors are positioned so that each directs the beam 20 onto the surface to be imaged from two slightly different directions and positions, with substantially overlapping imaging areas. They preferably toggle every alternate frame, such that visual 15 information is received from the right and left perspectives in alternate frames. Alternatively, the two toggling mirrors could be substituted with a single mirror that can change position preferably every second frame or half frame, or a galvanometer mounted prism or glass plate that is capable of imaging from the left and right perspective every half frame.

20 After leaving the beam steering unit 23 the light is reflected off a large curved mirror 24 before entering the eye 25. Component 14 of the beam 20 is focussed onto the cornea and is reflected off it to provide a reference signal. Component 15 of beam 20 enters the eye through the pupil and passes through the eye's internal structure to reach the retina at the back of the fundus. The light 25 is reflected off the retinal layers and exits through the pupil.

It will be appreciated that by forming component beams 14, 15 before scanner 22, the scanner is able to scan the recombined beam, thus enhancing flexibility in lateral scanning. The prior complex diffractive element in front of the eye is also avoided.

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The reflected beam 26, including reflections of incident components 14, 15, traverses the same output path as the incident beam 20. Beamsplitter 19 divides beam 26 into a component that is reflected from the cornea and a component reflected from the fundus. The latter component reaches the non-polarising beamsplitter 16 where parts of the light reflected from the fundus form beam 27. The intensity of beam 27 is measured by photodetector 28, preferably a photomultiplier tube or an avalanche photodiode, to form the standard scanning ophthalmoscopic image of the fundus of the eye. Alternatively, focussing optics and/or an aperture may be placed in front of the photodetector, or optional photodetector 32 and/or 33 may be used to detect the standard scanning ophthalmoscopic image which is offset by the reflected intensity of the cornea. Alternatively, half wave plate 11 may be adjusted so that component 14 vanishes and photodetector 32 and/or 33 detects the standard scanning ophthalmoscopic image. Beamsplitter 13 re-combines the light which passes through beamsplitter 16 with light which leaves beamsplitter 19, passes through lens 18 and is reflected off mirror 17 to reform beam 26.

Upon reaching the third beamsplitter in the form of nonpolarizing beamsplitter 12, part of the light is reflected by the beamsplitter towards the polarising beamsplitter 29 which is rotated by 45°. Both the beams 30 and 31, which are polarised perpendicular to each other, contain reflected light from both the cornea and the fundus of the eye 25. Interference in both the beams 31 and 32 will occur when the path difference of the light reflected from the cornea and the light reflected from the fundus equals the optical distance between the cornea and the fundus within the tolerances of the coherence length of the light source 1. Since the path difference of the two subcomponents of beams 10 and 20 is set by the positions of the mirrors 8 and 9, the distance from the fundus feature which is imaged to the cornea can be measured by determining the positions of the mirrors 8 and 9 when interference is established.

The intensities of the beams 30 and 31 are detected using the photodetectors 32 and 33, by preference photomultiplier tubes or avalanche

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photodiodes. Alternatively, focussing optics and/or apertures may be placed in front of the photodetectors. Signal processing means 34 and 35 compare the signals from the photodetectors 32 and 33 with the frequency of the phase modulator 7 to recognise interference patterns. Alternatively, the signals of the photodetectors 32 and 33 can be compared to a frequency resulting from the Doppler shift when moving mirror 8. Alternatively, the signals of the photodetectors 32 and 33 may be subtracted from each other to reveal interference patterns.

The outputs of the photodetector 28 and the signal processing means 34  
10 and 35 are then sent to the computer 36. An imaging board in computer 36 and appropriate electronic hardware and software convert these outputs and information on the position of the mirror 8 into images, including three dimensional topological representations of the fundus of the eye. The images are displayed by computer monitor 37. It is then possible to calculate three dimensional distances  
15 between features chosen by the computer operator from those images.

Referring to Figure 2, a preferred procedure of the present invention utilises a beam consisting of two sub-components with a fixed path difference. The nodal point of the scanning pattern is located at the cornea at position A. Lateral scanning takes place and a standard ophthalmoscopic image is recorded.  
20 Simultaneously, the points and/or areas where interference occurs are marked in a different colour on the same image. In doing so, the classification of these features which cause the interference is facilitated. The image is stored and the nodal point of the scanning pattern is moved to position B. Again, lateral scanning takes place and the points and/or areas of interference overlaid onto a standard  
25 ophthalmoscopic image are recorded. After storing the image the path difference between the sub-components of the illumination beam is changed by a certain amount (step width) and images at the positions A and B are acquired in the same manner as described above. The process of changing the path difference between the sub-components of the illumination beam and the acquisition of  
30 images at the positions A and B continues until the full three dimensional region of

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interest of the fundus of the eye is covered.

- In order to determine the distance of the feature D from the reference line AB computer software is used to detect those images in the stacks of images recorded from positions A and B respectively where the feature D is marked as causing interference. The path difference between the sub-components associated with these images is a measure of the distances AD and BD, respectively. The distance AB is controlled by the positions of certain optical elements of the apparatus shown in Figure 1 and therefore also known. As a result, the triangle ABD is completely determined without measuring any angles.
- 5 Triangulation methods can now be used to calculate the length of any line, including the height h (the height of triangle ABD along CD) and the value of any angle of this triangle.
- 10

- Further information such as the value of the refractive index can be obtained from angular measurements. The nodal point of the scanning pattern 15 may be placed at position C on the cornea, halfway between positions A and B. The horizontal scan angle under which the feature D is imaged corresponds to a certain position of the horizontal scanner of the apparatus shown in Figure 1 and can be detected. The angle  $\beta$ , which describes the direction a beam has to travel from position C to reach feature D, can be calculated using trigonometric 20 methods. The refractive index is then given as the quotient of  $\sin \alpha$  and  $\sin \beta$ .

- Modification within the spirit and scope of the aforementioned invention may be readily effected by a person skilled in the art. Other alternative embodiments would involve the use of fibre-optic based light delivery systems including fibre-optic based components for beam splitting and re-combing and phase modulating. The position of the beam splitting, focussing and re-combining unit which is used to produce a reference beam focused on the cornea, may be changed within the lay-out of the complete set-up. The process of image and data acquisition may also be altered, for example, axial scanning may be carried out for a fixed lateral position or in conjunction with a line scan. It is to be understood,
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therefore, that this invention is not limited to the particular embodiments and methods described by way of example hereinabove.

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**CLAIMS**

1. An imaging apparatus for the three dimensional imaging and/or measurement of a surface including:

first beam modifying means for modifying an incident beam of short coherence length light to form a modified beam of first and second components having a mutual path difference and being capable of producing a detectable interference;

beamsplitting means for splitting said modified beam into first and second beams;

second beam modifying means for modifying the properties of at least one of said first and second beams;

recombinining means for thereafter recombinining said first and second beams;

means for directing said recombinined first and second beams towards said surface and scanning them across the surface; and

means for monitoring the first and second beams after reflection and detecting interference of the reflected first and second beams.

2. An imaging apparatus according to claim 1 further including steering means to vary a nodal point of the scanned first and second beams.

3. An imaging apparatus according to claim 1 or 2, wherein said first beam after said modification of the properties of at least one of said first and second beams is focussed on a position in front of said surface for reflection at said position.

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4. An imaging apparatus according to claim 3 wherein said second beam is a collimated beam at said scanning means, for being focussed onto said surface.
5. An imaging apparatus according to any preceding claim wherein said scanning means is arranged for scanning in at least two dimensions.
6. An imaging apparatus according to any preceding claim, further including one or more sources of said incident beam of short coherence length light.
7. An imaging apparatus according to any preceding claim wherein said first beam modifying means includes means to modulate the phase difference between said first and second components.
8. An imaging apparatus according to any preceding claim wherein said first beam modifying means includes means to polarize said first and second components.
9. An imaging apparatus according to any preceding claim wherein said first beam modifying means includes interferometric means having at least one optical arm with adjustable mirror means.
10. An imaging apparatus according to any preceding claim, further including beamsplitting means for deflecting said reflected first and second beams to said monitoring and detecting means, when said reflected beams are returned along the optical path of the incident recombined first and second beams.
11. An imaging apparatus according to any preceding claim wherein said second beam modifying means includes beam focusing means.
12. An imaging apparatus according to any preceding claim, including means for varying the direction of incidence of said recombined beam towards

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said surface, whereby to obtain at said monitoring and detecting means alternate left and right images of substantially overlapping areas.

13. An imaging apparatus according to any preceding claim, for imaging and/or measuring a surface comprising an ocular fundus.

5 14. An imaging apparatus according to claim 13 wherein said incident beam is a laser beam, and the apparatus functions in use as a scanning laser ophthalmoscope.

10 15. An imaging apparatus according to claim 13 or 14 wherein said first and second beams are respectively a focussed beam arranged to be at least partially reflected from the cornea of an eye and a collimated beam for being focussed by the eye onto its fundus for reflection thereby.

16. An imaging apparatus according to any preceding claim, further including image analysing means to obtain three-dimensional topological data of said surface.

15 17. A method for the three dimensional imaging and/or measurement of a surface including:

modifying an incident beam of short coherence length light to form a modified beam of first and second components having a mutual path difference and being capable of producing a detectable interference;

20 splitting said modified beam into first and second beams;

modifying the properties of at least one of said first and second beams and thereafter recombining said first and second beams;

detecting said recombined first and second beams towards said surface and scanning them across the surface; and

25 monitoring the first and second beams after reflection and detecting interference of the reflected first and second beams.

18. A method according to claim 17 further including varying a nodal

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point of the scanned first and second beams.

19. A method according to claim 17 or 18, wherein said first beam after said modification of the properties of at least one of said first and second beams is focussed on a position in front of said surface for reflection at said position.

5 20. A method according to claim 19 wherein said scanned second beam is a collimated beam for being focussed onto said surface.

21. A method according to any one of claims 17 to 20 wherein said scanning is in at least two dimensions.

10 22. A method according to any one of claims 17 to 21 wherein said modifying of said incident beam includes modulating the phase difference between said first and second components.

23. A method according to any one of claims 17 to 22 wherein said modifying of said incident beam includes polarizing said first and second components.

15 24. A method according to any one of claims 17 to 23 further including deflecting said reflected first and second beams for said monitoring and detecting, when said reflected beams are returned along the optical path of the incident recombined first and second beams.

20 25. A method according to any one of claims 17 to 24, including varying the direction of incidence of said recombined beam towards said surface, whereby to obtain for said monitoring and detecting alternate left and right images of substantially overlapping areas.

26. A method according to any one of claims 17 to 25, wherein said surface is an ocular fundus.

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27. A method according to claim 26 wherein said incident beam is a laser beam and said method includes scanning laser ophthalmoscopy.

28. A method according to claim 26 or 27, wherein said first and second beams are respectively a focussed beam arranged to be at least partially reflected from the cornea of an eye, and a collimated beam for being focussed by the eye onto its fundus for reflection thereby.

29. A method according to any one of claims 17 to 28, further including obtaining three-dimensional topological data of said surface.

30. A method for scanning a surface with light beams of short coherence length to thereby produce an image and three dimensional topological data of said surface, including:

directing light beams of short coherence length along an input path including:

15 polarising said beam;  
dividing said beam into two sub-components with a defined path difference;

modulating at least one of said sub components;  
splitting said sub-components;  
focussing said sub-components;  
20 re-combining said sub-components;  
scanning said sub-components in a first direction;  
scanning said sub-components in a second direction different from said first direction;

25 directing said sub-components through a beam steerer to provide a triangulation base by impinging said sub-components onto said surface from two different positions;

reflecting said sub-components onto said surface;  
whereby reflected light from said surface traverses an output path identical at least in part to said input path, including splitting said sub-components

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and directing a portion of the split sub-components through an aperture means towards detecting means coupled to signal processing means and display means, whereby the resultant image can be viewed and three dimensional topological data of said surface can be obtained.

5        31. An imaging apparatus for the three dimensional imaging and measurement of a surface including:

            a beam source for providing a beam of short coherence length light;  
            a first beamsplitter for splitting said beam into first and second components of short coherence length light;

10        means for producing a path difference between said first and second components;

            a second beamsplitter for splitting said beam into first and second beams;

15        beam modifying means for modifying the properties of at least one of said first and second beams;

            recombining means for recombining said first and second beams;  
            focussing means for focussing said recombined first and second beams;

20        first and second beam scanners for scanning said recombined first and second beams in first and second directions;

            beam steering means for creating a triangulation base by directing said recombined first and second beams onto said surface from a first and a second position and reflecting said recombined first and second beams therefrom;

25        a third beamsplitter for splitting said reflected first and second beams;

            a detector for detecting the interference of said reflected first and second beams.

32. An apparatus for visualising the ocular fundus of an eye and providing three dimensional topological data of said fundus including:

30        a light source for producing a beam of short coherence length;

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an interferometer for dividing said beam into sub-components with a defined path difference;

modulation means for modulating at least one of the said sub-components;

5 beam shaping means for shaping said beam and/or said sub-components;

polarisation influencing means for controlling and changing the polarisation state of said beam and/or said sub-components;

10 a first beamsplitter and recombining means for splitting and re-combing said sub-components;

first focussing means for focussing said sub-components;

a second beamsplitter for splitting the sub-components;

second focussing means for further focussing at least one sub-component;

15 first scanning means for scanning the sub-components in a first direction;

second scanning means for rescanning the sub-components in a second direction substantially perpendicular to said first direction and thereby converted into a raster pattern;

20 a beam steerer for creating a triangulation base and directing said sub-components onto said fundus and the cornea of said eye respectively;

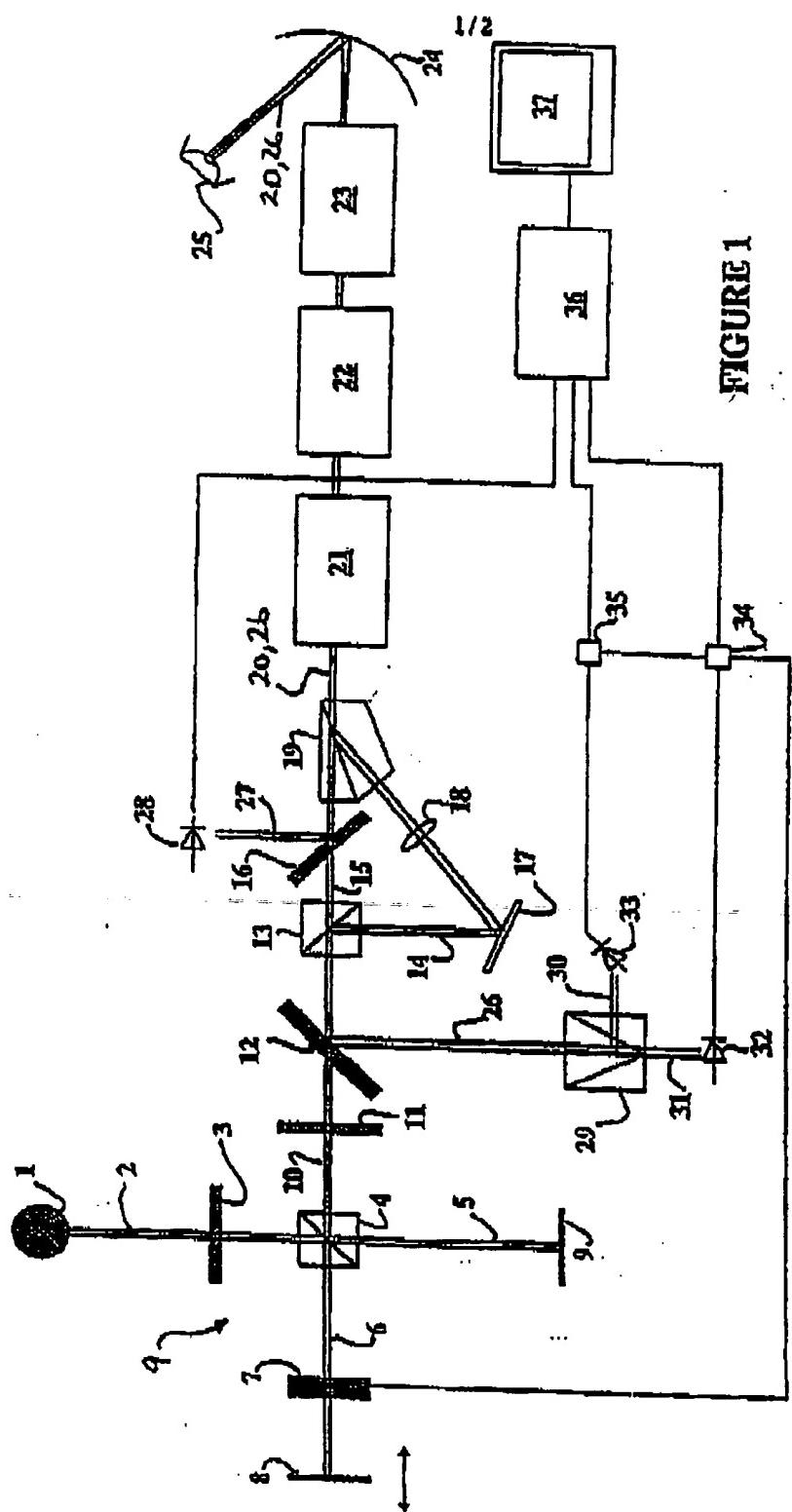
light detecting means for detecting said interference pattern after re-combining reflected light from said fundus and said cornea;

25 signal processing means for processing signals from said light detecting means; and

display means for receiving said processed signals and displaying an image of said fundus.

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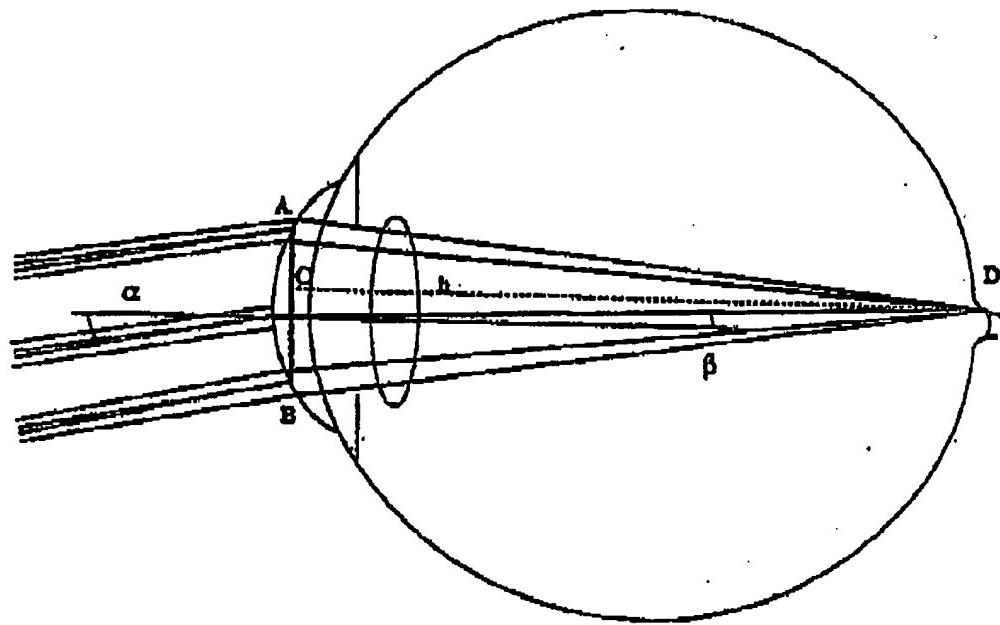


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**FIGURE 2**

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/AU 99/01024

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
(Int Cl <sup>6</sup> : A61B 3/12)		
According to International Patent Classification (IPC) or to both national classification and IPC.		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) A61B 3/4		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WPAT JAPIO		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category <sup>4</sup>	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P.A	US 5975697 A (PODOLEANU et al) 2 November 1999 See the entire document	
A	US 5784143 A (HEACOCK) 21 July 1998 See the entire document	
A	US 5537162 A (HELLMUTH et al) 16 July 1996 See the entire document	
<input type="checkbox"/> Further documents are listed in the continuation of Box C		<input checked="" type="checkbox"/> See parent family annex
<ul style="list-style-type: none"> <li>* Special categories of cited documents:</li> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier application or patent not published on or after the international filing date</li> <li>"L" document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than the priority date claimed</li> </ul>		<ul style="list-style-type: none"> <li>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</li> <li>"Z" document member of the same patent family</li> </ul>
Date of the initial completion of the international search 16 December 1999		Date of mailing of the international search report 21 DEC 1999
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustralia.gov.au Facsimile No.: (02) 6285 3929		Authorized officer <i>D. Melhuish</i> DAVID MELHUISH Telephone No.: (02) 6285 2426

**INTERNATIONAL SEARCH REPORT**  
Information on patent family membersInternational application No.  
**PCT/AU 99/01024**

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report	Patent Family Member		
US 5975697			
US 5784149	EP 900047	WO 9737584	US 5949520
US 5537162	EP 659383	JP 6098813	

END OF ANNEX